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28027

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Jun Shimizu Confirmation No: 3488

Serial No.: 10/029,028

Group Art Unit: 2852

Filed: December 28, 2001

Examiner: Susan Shuk Yin Lee

Customer No.: 38834

For: OPERATING SCREW WITH SPIRAL PROJECTION HAVING CYLINDRICAL SURFACE AND RETREAT SURFACE (As Amended)

PETITION FOR EXTENSION OF TIME

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date: December 5, 2003

Sir:

Applicants petition the Commissioner for Patents to extend the time for response to the Office Action dated August 5, 2003 for 1 month from November 5, 2003 to December 5, 2003.

Attached please find a check in the amount of \$110.00 to cover the cost of the extension for a large entity. In the event that any additional fees are due in connection with this paper, please charge our Deposit Account No. 50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

Handwritten signature of Michael N. Lau

Michael N. Lau

Attorney for Applicant

Reg. No. 39,479

TECHNOLOGY CENTER 2800

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12/08/2003 CNGUYEN 00000008 10039028

01 FC:1251

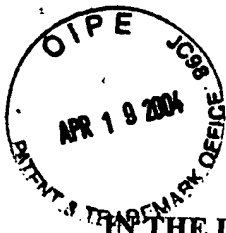
110.00 OP

MNL/eg

Form with fields: Atty. Docket No. 011770, Suite 700, 1250 Connecticut Ave, N.W., Washington, D.C. 20036, (202) 822-1100, \*38834\*, PATENT TRADEMARK OFFICE

Adjustment date: 05/10/2004 EEKUBAY1
12/08/2003 CNGUYEN 00000008 10039028
01 FC:1251 -110.00 OP

05/10/2004 EEKUBAY1 00000013 10029028
110.00 OP
1531:31 01



STATUS AND ENTITY  
BRANCH

DEPT. OF COMMERCE

Room 30

2004 APR 21 AM 10:47

STATUS AND ENTITY  
BRANCH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2004 APR 21 AM 10:49

In re the Application of: **Jun SHIMIZU**

Group Art Unit: **2852**

Serial No.: **10/029,028**

Examiner: **Susan Shuk Yin Lee**

Filed: **December 28, 2001**

Confirmation No.: **3488**

For: **OPERATING SCREW WITH SPIRAL PROJECTION HAVING  
CYLINDRICAL SURFACE AND RETREAT SURFACE**

Attorney Docket No.: **011770**  
Customer Number: **38834**

**REQUEST FOR REFUND**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

April 19, 2004

Sir:

In response to an Office Action dated August 5, 2003, Applicant filed an Amendment under 37 CFR 1.111 on December 5, 2003. December 5, 2003 was the last day of the one-month extended response period. Applicant included a check of \$110.00 for the Petition for Extension of Time. Attached herewith is a copy of the date-stamped return post card that indicates receipt of the Amendment by the Office, which contains twelve (12) claims with three (3) independent claims.

\$172.00 was subsequently charged on January 21, 2004 for deposit account No. 502866. A copy of the Deposit Account monthly statement dated January 30, 2004 is attached herewith.

Request for Refund  
Attorney Docket No. 011770  
Serial No. 10/029,028

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304 APR 21 11:00:19

Applicant believes this charge is erroneously made by the Office. Therefore, applicant respectfully requests that the Patent Office refund the amount of \$172.00 to Deposit Account 50-2866.

Respectfully submitted,  
WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP



Michael N. Lau  
Attorney for Applicants  
Registration No. 39,479

MNL/asc  
1250 Connecticut Avenue, NW  
Suite 700  
Washington, D.C. 20036  
(202) 822-1100

Enclosures: Deposit Account Monthly Statement of January 30, 2004  
a copy of the date-stamped return post card

Q:\2001\011770\011770.Request for Refund.doc



STATUS AND ENTITY  
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2004 APR 21 AM 10:49

U.S. Patent Application  
Serial No.: 10/029,028  
Patent Number:  
Applicant(s): Jun Shimizu

Docket No.: 011770  
Filed: December 28, 2001  
Issued:

✓

Papers filed herewith on: 12/05/03

Check (110.00)  
Amendment (13 pages)  
Extension of Time (1 page)  
Change of Correspondence Address (1 page)



COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated in connection with the above-identified case.

MNL/eg



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



**MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT**

To replenish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

WESTERMAN, HATTORI, DANIELS & ADRIAN, LL FINA  
ACCOUNTS PAYABLE  
1250 CONNECTICUT AVE, NW  
SUITE 700  
WASHINGTON DC 20036

2004 APR 21 AM 10:49

Under Secretary of Commerce for Intellectual Property and  
Director of the United States Patent and Trademark Office  
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Account No.	502866
Date	1-30-04
Page	1

**PLEASE SEND REMITTANCES TO:**  
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Chicago, IL 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
1	5	04	6	10123137	00121A	1806	180.00	3622.00
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AN AMOUNT SUFFICIENT TO  
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OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE
3802.00	2509.00	33.00	1326.00